



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for training of animal and handlers personnel by research facilities and by exhibitors, exhibitors, and handlers with respect to the handling of animals in the event of an emergency. We are also adding requirements for training of animal and handlers personnel by research facilities and by exhibitors, exhibitors, and handlers with respect to the handling of animals in the event of an emergency. We are also adding requirements for training of animal and handlers personnel by research facilities and by exhibitors, exhibitors, and handlers with respect to the handling of animals in the event of an emergency.

DATE: 01/10/2013. **STATUS:** Final rule. **DATE:** 01/10/2013. **STATUS:** Final rule.

FOR FURTHER INFORMATION: Please contact the following individuals for more information: **FOR FURTHER INFORMATION:** Please contact the following individuals for more information:

Development:

Under the Animal Welfare Act (AWA) of 1966, as amended, the Secretary of Agriculture is authorized to promulgate regulations and order requirements regarding the humane handling, care, treatment, and transportation of certain animals by research, teaching, testing,

Final Rule Contingency Plans

Public Webinar
February 2013

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Overview

DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC69

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for training of animal and laboratory personnel by research institutions and for public institutions, and for the use of animals in research. We are also amending the regulations to require that research institutions and public institutions develop and maintain contingency plans for the care and handling of animals in the event of a disaster. We are also amending the regulations to require that research institutions and public institutions develop and maintain contingency plans for the care and handling of animals in the event of a disaster.


DATE: 01/01/07. **FOR FURTHER INFORMATION CONTACT:** Dr. David M. Williams, Director, Animal Care, APHIS, 990 Indiana Avenue, NE, Washington, DC 20002-4260. E-mail: david.williams@aphis.usda.gov. **FOR FURTHER INFORMATION CONTACT:** Dr. David M. Williams, Director, Animal Care, APHIS, 990 Indiana Avenue, NE, Washington, DC 20002-4260. E-mail: david.williams@aphis.usda.gov.

Supplementary Notes:

Title: The Animal Welfare Act (AWA) of 1966, as amended, the Secretary of Agriculture is authorized to promulgate regulations to enforce the requirements of the AWA, including the humane handling, care, treatment, and transportation of certain animals for research, teaching, testing,

- Background, Purpose, and Requirements
- Compliance Timeline
- Four Part Criteria
- Guidance and Resources
- Questions

3



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for the humane care and treatment of animals and to ensure the proper handling of animals in emergency situations. The rule requires that facilities that are subject to the Act must have a contingency plan in place to ensure the safety of the animals in the event of a disaster. The rule also requires that facilities must have a plan in place to ensure the safety of the animals in the event of a disaster. The rule also requires that facilities must have a plan in place to ensure the safety of the animals in the event of a disaster.

FOR FURTHER INFORMATION CONTACT: Dr. John W. Decker, Director, Animal Welfare Division, APHIS, 1400 Independence Avenue, SW, Washington, DC 20250-4700; e-mail: john.decker@aphis.usda.gov.

Supplementary Notes: This document contains the following information: (1) The Animal Welfare Act (AWA), 7 U.S.C. 2131, et seq.; (2) The Animal Welfare Regulations (AWR), 9 CFR 2.101, et seq.; (3) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (4) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (5) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (6) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (7) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (8) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (9) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (10) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (11) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (12) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (13) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (14) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (15) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (16) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (17) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (18) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (19) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (20) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (21) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (22) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (23) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (24) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (25) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (26) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (27) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (28) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (29) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (30) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (31) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (32) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (33) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (34) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (35) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (36) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (37) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (38) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (39) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (40) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (41) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (42) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (43) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (44) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; 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(90) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (91) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (92) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (93) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (94) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (95) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (96) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (97) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (98) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (99) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.; (100) The Animal Welfare Act Regulations (AWAR), 9 CFR 2.101, et seq.

“Contingency Plan”

- A plan for how to **improve animal welfare** by responding to and recovering from emergencies
- Also called “Emergency Plan”

PHOTO: Dusty tornado. NOAA Photo Library. NOAA Central Library. OAR/ERL/National Severe Storms Laboratory

What is meant by “Contingency Plan”?

Contingency Plans are also known as “Emergency Plans” and they represent a strategy and course of action to be taken in response to an emergency, system failure, or disaster.

There are many different types of emergencies a facility can experience and should plan for, from natural threats (e.g. floods, tornadoes, or ice storms) to technical or man-made threats (e.g. electrical outages or building fires) to widespread employee illness (e.g. seasonal flu) to intentional acts (such as demonstrations, thefts, or computer security breaches).

4



USDA APHIS Animal Care

DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for all registered animal exhibitors and research personnel to prepare and implement contingency plans for emergencies. These plans must include procedures for the care and handling of animals in the event of a disaster, such as a fire, flood, or other natural or man-made disaster. We are also requiring exhibitors and research personnel to provide training to their staff on the procedures outlined in their contingency plans. These requirements are necessary to ensure the health and welfare of animals in the event of a disaster and to minimize the impact of disasters on the animal welfare industry.

REGULATORY ANALYSIS: We have conducted a regulatory analysis of this rule and have determined that it is not economically burdensome. The rule is necessary to ensure the health and welfare of animals in the event of a disaster and to minimize the impact of disasters on the animal welfare industry.

Comments: We have received comments from several interested parties. We have carefully considered these comments and have made minor revisions to the rule. We believe the rule is necessary and appropriate to ensure the health and welfare of animals in the event of a disaster and to minimize the impact of disasters on the animal welfare industry.

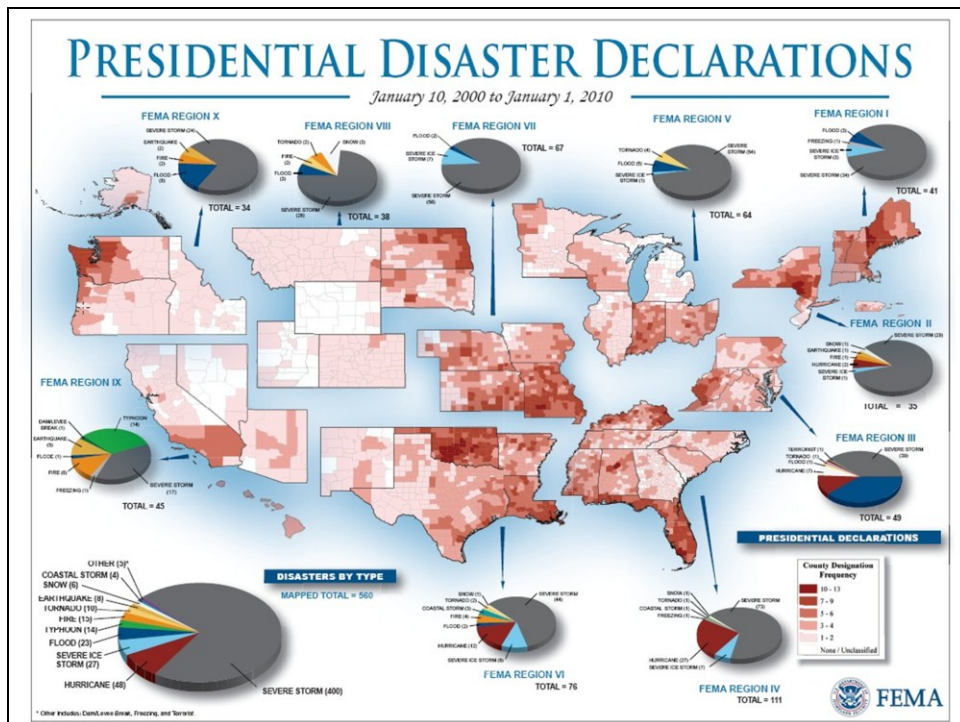
Effective Date: This rule is effective on October 1, 2007.

Authority: 7 U.S.C. 2131, 2141, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 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2968, 2969, 2970, 2971, 2972, 2973, 2974, 2975, 2976, 2977, 2978, 2979, 2980, 2981, 2982, 2983, 2984, 2985, 2986, 2987, 2988, 2989, 2990, 2991, 2992, 2993, 2994, 2995, 2996, 2997, 2998, 2999, 3000, 3001, 3002, 3003, 3004, 3005, 3006, 3007, 3008, 3009, 3010, 3011, 3012, 3013, 3014, 3015, 3016, 3017, 3018, 3019, 3020, 3021, 3022, 3023, 3024, 3025, 3026, 3027, 3028, 3029, 3030, 3031, 3032, 3033, 3034, 3035, 3036, 3037, 3038, 3039, 3040, 3041, 3042, 3043, 3044, 3045, 3046, 3047, 3048, 3049, 3050, 3051, 3052, 3053, 3054, 3055, 3056, 3057, 3058, 3059, 3060, 3061, 3062, 3063, 3064, 3065, 3066, 3067, 3068, 3069, 3070, 3071, 3072, 3073, 3074, 3075, 3076, 3077, 3078, 3079, 3080, 3081, 3082, 3083, 3084, 3085, 3086, 3087, 3088, 3089, 3090, 3091, 3092, 3093, 3094, 3095, 3096, 3097, 3098, 3099, 3100, 3101, 3102, 3103, 3104, 3105, 3106, 3107, 3108, 3109, 3110, 3111, 3112, 3113, 3114, 3115, 3116, 3117, 3118, 3119, 3120, 3121, 3122, 3123, 3124, 3125, 3126, 3127, 3128, 3129, 3130, 3131, 3132, 3133, 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3798, 3799, 3800, 3801, 3802, 3803, 3804, 3805, 3806, 3807, 3808, 3809, 3810, 3811, 3812, 3813, 3814, 3815, 3816, 3817, 3818, 3819, 3820, 3821, 3822, 3823, 3824, 3825, 3826, 3827, 3828, 3829, 3830, 3831, 3832, 3833, 3834, 3835, 3836, 3837, 3838, 3839, 3840, 3841, 3842, 3843, 3844, 3845, 3846, 3847, 3848, 3849, 3850, 3851, 3852, 3853, 3854, 3855, 3856, 3857, 3858, 3859, 3860, 3861, 3862, 3863, 3864, 3865, 3866, 3867, 3868, 3869, 3870, 3871, 3872, 3873, 3874, 3875, 3876, 3877, 3878, 3879, 3880, 3881, 3882, 3883, 3884, 3885, 3886, 3887, 3888, 3889, 3890, 3891, 3892, 3893, 3894, 3895, 3896, 3897, 3898, 3899, 3900, 3901, 3902, 3903, 3904, 3905, 3906, 3907, 3908, 3909, 3910, 3911, 3912, 3913, 3914, 3915, 3916, 3917, 3918, 3919, 3920, 3921, 3922, 3923, 3924, 3925, 3926, 3927, 3928, 3929, 3930, 3931, 3932, 3933, 3934, 3935, 3936, 3937, 3938, 3939, 3940, 3941, 3942, 3943, 3944, 3945, 3946, 3947, 3948, 3949, 3950, 3951, 3952, 3953, 3954, 3955, 3956, 3957, 3958, 3959, 3960, 3961, 3962, 3963, 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This is no longer true: in 2012 alone there were 47 Presidential Disaster Declarations, impacting 32 states and territories. 11 disasters caused over \$1 billion in property damage alone

[Pic of dolphin: **Rescuers transporting the last of 8 trained dolphins that was washed into the Mississippi Sound in Gulfport after Hurricane Katrina**

http://www.msnbc.msn.com/id/9424961/ns/us_news-katrina_the_long_road_back/t/displaced-dolphins-are-rescued-mississippi/#.UQGFqaWBC14]



FEMA Presidential Disaster Declarations Map

It is a misperception to think that last year was a fluke. This is a map released by FEMA of disaster declarations over the last 10 years in the United States, broken down by FEMA region. The darker the region, the more frequent the disasters in that area, but you can see that there are no areas across the entire US that are immune to disaster. And these are *presidentially declared disasters* that don't include those smaller scale impacts which affect a particular business or a city block.

DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
[Docket No. APHIS-2006-0159]
RIN 0579-AC69
Handling of Animals; Contingency
Plans

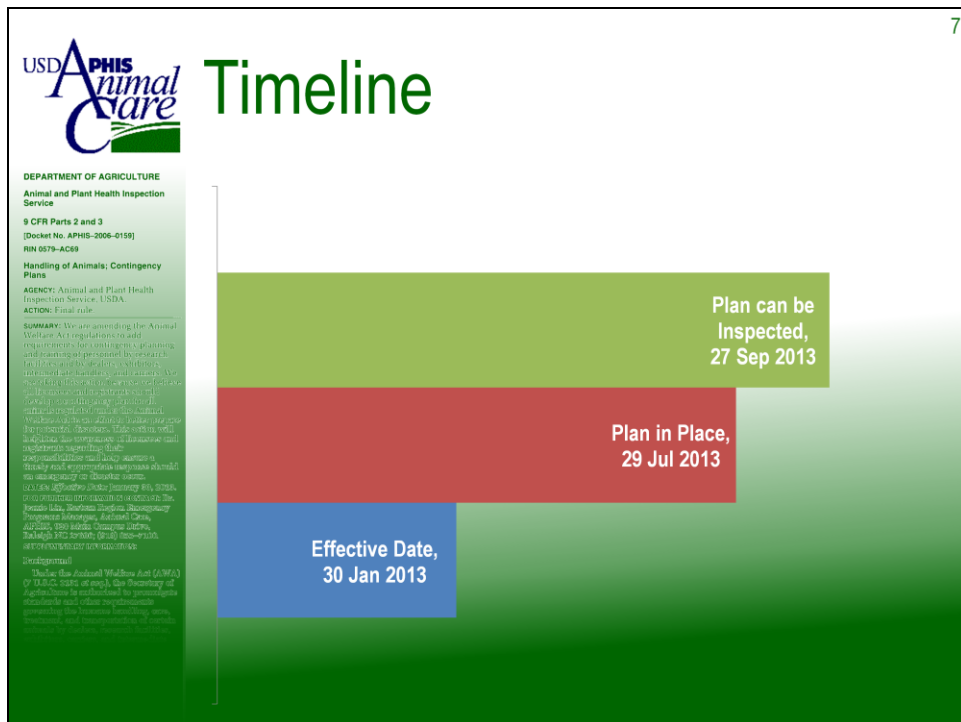
AGENCY: Animal and Plant Health Inspection Service, USDA.



Proper planning benefits animal welfare

APHIS believes that all licensees and registrants under the Animal Welfare Act should develop a contingency plan for regulated animals in an effort to better prepare for potential disasters. Developing contingency plans could potentially save the lives of the animals under their care and their employees, as well as ensure continuity of business operations during an emergency and shorten the time needed to return to fully operational status after such an emergency.

http://www.msnbc.msn.com/id/9424961/ns/us_news-katrina_the_long_road_back/t/displaced-dolphins-are-rescued-mississippi/#.UQGFqaWBC14




Timeline to Compliance

The Contingency Reg final rule was published in the Federal Register on December 31st of last year (2012). Thus the date the rule became “effective” was January 30th. According to the regulation, facilities have 180 days after the rule became effective to develop their plan. Thus they have until July 29, 2013 to have a plan in place. Facilities have 60 days after their contingency plan is in place to train all of the employees on the plan, which brings us to September 27th of this year.

Once facilities have their plan in place and all the employees trained (September 27, 2013), APHIS inspectors should be able to view contingency plans for the facility during a routine inspection.

We wanted to give facilities this long lead time to develop their plan and share it with their employees, because we believe the planning component of this process is the most important, as that is where facilities focus on their individual needs and circumstances. The written plan is merely the evidence

that facilities have gone through a planning process.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency planning and emergency preparedness by research facilities, exhibitors, and transporters. These requirements are designed to ensure that animals are protected in the event of a disaster or emergency. The regulations require facilities to develop and maintain contingency plans, to conduct training exercises, and to have emergency procedures in place. The regulations also require facilities to have contingency plans for the care and custody of animals in the event of a disaster or emergency. The regulations are effective on October 1, 2007.

REGULATORY FLEXIBILITY: The regulations are designed to be flexible and to allow facilities to develop contingency plans that are appropriate to their size and the type of animals they care for. The regulations also allow facilities to use alternative methods to meet the requirements of the regulations.

STATISTICAL DATA: There are no statistical data available for this rule.

ADMINISTRATIVE BURDEN: The regulations are designed to be flexible and to allow facilities to develop contingency plans that are appropriate to their size and the type of animals they care for. The regulations also allow facilities to use alternative methods to meet the requirements of the regulations.

What is required?

All AWA facilities have a Contingency Plan

- Part 2: Subpart C, §2.38(l)(1); Subpart I, §2.134(a)

Document staff training

- Part 2: Subpart C, §2.38(l)(3); Subpart I, §2.134(c)


Annual review

- Part 2: Subpart C, §2.38(l)(2); Subpart I, §2.134(4)(b)

What is required?

These are the three items that facilities are to prepare as a result of walking through this planning process. Again, you can look at these as the evidence that a facility has thought through a plan, has shared it with staff, and that the facility is reviewing the plan and revising it as needed on a yearly basis. Contingency planning is an organic process that can be impacted by changes to the facility, personnel structure, and lessons learned after a disaster, thus we feel annual review of the plan is an important component to emergency preparedness.

One of the questions that has come up is whether or not intermediate handlers and carriers are also covered by the regulation. As we believe in the importance of emergency preparedness to animal welfare, this regulation applies to all facilities regulated under the AWA. Thus intermediate handlers and carriers are indeed covered. We are working on outreach planning specific to these industries to develop guidance on compliance for facilities such as these that are regulated under the AWA.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency plans and emergency preparedness plans for research facilities. These plans are required to be submitted to APHIS for review and approval. The regulations also require that facilities have contingency plans in place to ensure the health and safety of animals in the event of a disaster. The regulations also require that facilities have contingency plans in place to ensure the health and safety of animals in the event of a disaster. The regulations also require that facilities have contingency plans in place to ensure the health and safety of animals in the event of a disaster.

FOR FURTHER INFORMATION CONTACT: Dr. John W. Miller, Director, APHIS, 1400 Independence Avenue, SW, Washington, DC 20250-4700, (202) 738-0100, or e-mail: john.w.miller@aphis.usda.gov.

Supplementary Notes: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities have contingency plans in place to ensure the health and safety of animals in the event of a disaster. The regulations also require that facilities have contingency plans in place to ensure the health and safety of animals in the event of a disaster.

What is not required by the regulation?

- Submission of plans to APHIS
- Standard format or template
- APHIS evaluation of how the plan worked after a disaster

What is NOT required by the reg?

Facilities are not required to submit their Plans—they just need to have them available for inspection.

There is no standard format for a facility's Plan, since the intent of the regulation is to give our regulated entities the freedom to design a plan that best works for them on an individual basis.

There is nothing in the regulation about reviewing the functionality of the Plan. Thus, if a facility is impacted by a disaster and enacts its Plan, there is not requirement under the regulation that APHIS review how well the Plan worked.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency plans and emergency preparedness for research facilities and exhibitors. We are also adding requirements for contingency plans for research facilities and exhibitors. We are also adding requirements for contingency plans for research facilities and exhibitors.

DATE: 05/01/2007

FOR FURTHER INFORMATION CONTACT: Dr. John W. Decker, Director, APHIS, 10300 Beltsville Avenue, Room 100, Beltsville, MD 20705-1502.

SUPPLEMENTARY NOTES: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

URLING INFORMATION: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

REGULATORY ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

ENVIRONMENTAL ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

STATISTICAL ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

TECHNICAL ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

LEGAL ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

ADMINISTRATIVE ANALYSIS: The Animal Welfare Act (AWA) of 1966, as amended, requires that research facilities and exhibitors have contingency plans in place to ensure the health and safety of animals in their care.

Additional Information

Holding Facilities

- Part 2: Subpart C, §2.38(i)(4); Subpart H, §2.102(a)(4) & (b)(3)

Traveling Entities must carry plan on the road

- Part 2: Subpart I, §2.134(b)

Additional Information

For consistency with current regulations, the Contingency Plan regulation includes mention of Holding Facilities, even though we are not regulating any at this time. The Contingency Plan reg requires that Holding Facilities—defined under the AWA as facilities that have received pre-approval for maintaining animals for dealers, exhibitors, or research facilities for a period longer than 5 days—should have their own compliant Contingency Plans or be included in the Plan of the originating licensee or registrant.

The Contingency Plan reg also states that traveling entities should have a copy of their facility's Contingency Plan with them such that it is available for inspection upon request.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency planning and emergency preparedness for research facilities and exhibitors. The regulations require facilities to develop and maintain contingency plans that address the potential for emergencies, such as natural disasters, fires, and other incidents that could result in the death or injury of animals. The regulations also require facilities to develop and maintain emergency preparedness plans that address the potential for emergencies, such as natural disasters, fires, and other incidents that could result in the death or injury of animals.

DATE: 01/10/07. **EFFECTIVE DATE:** 01/10/07. **FOR FURTHER INFORMATION CONTACT:** Dr. [Name], [Address], [City], [State], [Zip].

FOR FURTHER INFORMATION CONTACT: Dr. [Name], [Address], [City], [State], [Zip]. **FOR FURTHER INFORMATION CONTACT:** Dr. [Name], [Address], [City], [State], [Zip].

FOR FURTHER INFORMATION CONTACT: Dr. [Name], [Address], [City], [State], [Zip]. **FOR FURTHER INFORMATION CONTACT:** Dr. [Name], [Address], [City], [State], [Zip].

Marine Mammal Facilities

No change to current contingency plan submissions


- Part 2: Subpart C, §2.38(l)(2) and Part 3: Subpart E, §3.101(b)

Marine Mammal Facilities

Since the marine mammal regulations already have certain requirements for contingency planning, such facilities are mentioned specifically in the Contingency Plan reg for clarity's sake. The Contingency Regulation does not require submission to APHIS of any additions to their Contingency Plans that they make under this regulation.

The regulation provides a basic skeleton of the components of a Contingency Plan. As we have said before, there is no one-size-fits-all template for a Contingency Plan.

But we know from scientific research on emergency preparedness and from experienced emergency managers that people are more willing to walk through the planning process if they have some sort of basic structure to follow. With that in mind, we'll go over the four questions that should be answered in a Contingency Plan, according to the regulation.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency
Plans


AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal
Welfare Act regulations to add
requirements for contingency plans and
emergency preparedness for research,
instruction, and exhibition facilities.
These facilities must develop and
implement a contingency plan that
describes the actions to be taken in
the event of an emergency. The plan
must include procedures for the
care and handling of animals, the
protection of personnel, and the
protection of the facility. The plan
must also include procedures for
the evacuation of animals and
personnel. We are also adding
requirements for facilities to
conduct drills to test their
contingency plans. We are also
adding requirements for facilities
to provide training to personnel
on emergency procedures. We are
also adding requirements for
facilities to have emergency
contact information for all
personnel. We are also adding
requirements for facilities to
have emergency contact
information for all personnel.

Supplemental:
Under the Animal Welfare Act (AWA),
of 1966, and of 1993, the Secretary of
Agriculture is authorized to promulgate
regulations and issue requirements
pertaining to the humane treatment, care,
handling, and transportation of animals
used for research, instruction, and

The Four Questions



- **When** will you activate the plan?
- *Emergencies likely in your region or type of business*
- *Part 2: Subpart C, §2.38(l)(1)(i); Subpart I, §2.134(a)(1)*

WHEN

First is identifying “the WHEN”, as in when will your Contingency Plan be triggered.

Facilities are given the leeway to identify emergencies likely to happen in their region and to their type of facility. Identifying what emergencies are seen frequently will depend on the type of facility and its geographic location. The type of facility will determine the specifics in its plan, since every facility has a unique set of variables that will determine the type and nature of the challenges it faces. Remember that the term “emergency” doesn’t only apply to natural disasters, but could include a structure fire, or even a toilet in the building that overflows, causing flooding to an entire floor.

WHAT

Successful emergency plans outline tasks under the plan with enough detail that anyone reading the role will understand what they are supposed to do during an emergency. Agility, a company that has partnered with the US Small Business Association to develop emergency preparedness guidance materials for small to mid-size businesses, evaluated the 15,000 businesses they worked with last year, and found that one of the biggest points of failures in an emergency response was employee confusion about their roles during an emergency. Agility found in their work with businesses that involving the team in the development of the plan and enabling the staff to participate in developing and assigning tasks created a more practical and operational plan that promoted employee engagement and belief in a common vision.

Depending on the complexity and specific needs of a facility, tasks identified in the plan could include actions needed for animal evacuation, or actions needed to maintain the animals onsite during an emergency. Animal escapes are also referred to in this part of the regulation.

9 CFR Parts 2 and 3
[Docket No. APHIS-2006-0159]

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

What has been done?
Resources, training
C, §2.38(l)(1)(
(a)(4)

- **How** will you get it done?
- Materials, resources, training
- *Part 2: Subpart C, §2.38(l)(1)(iv); Subpart I, §2.134(a)(4)*

The final question a Plan should address is how will they get these tasks done. Figuring out the “How” includes identifying materials and materials and resources required for emergency response and recovery. Trained employees who understand the Plan and their role in it are a critical resource, so facilities should make sure employees are aware of the Plan and the tasks they must fulfill under that Plan. Many of our facilities have paid and volunteer employees (including family members who help out with the animals), so the more all the employees know about and understand their role in a response to emergency, the more successful the plan can be. Emergency preparedness experts tout the benefits of employee training and focusing on moving employees from awareness level competency to operational level competency.

One more note about training: Facilities are given 30 days to train new employees on the plan, as well as 30 days to retrain their employees on the Plan if they make changes to it.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)

RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency plans for animals and handlers in the event of emergencies. The regulations require that research facilities, exhibitors, and transporters develop contingency plans for emergencies that may occur at their facilities. The regulations also require that facilities develop contingency plans for emergencies that may occur at their facilities. The regulations also require that facilities develop contingency plans for emergencies that may occur at their facilities.

FOR FURTHER INFORMATION CONTACT: Dr. John W. Hargrett, Director, APHIS, 1400 Independence Avenue, SW, Washington, DC 20250-4700, (202) 748-0157, or the APHIS website at www.aphis.usda.gov.

Supplementary Notes: The Animal Welfare Act (AWA) of 1966, as amended, requires that the Secretary of Agriculture be satisfied that persons who are engaged in the business of breeding, raising, transporting, or otherwise handling animals for research, teaching, or exhibition are qualified to handle the animals and that the facilities in which the animals are kept are suitable for the purpose for which the animals are kept.

Coming soon:

- Guidance for transportation facilities and intermediate handlers
- Compliance training tools
- Additional guidance material

Coming Soon

The rollout for this regulation is an ongoing process, so there will be more information and guidance forthcoming in the days and months ahead. As we said earlier, we will be working on developing guidance for those facilities who temporarily handle animals, such as transportation facilities and intermediate handlers.

We are also working on developing compliance training tools our inspectors can use to enable their facilities to go through the contingency planning process to develop their own plans. We have put together a web page specific to the Contingency Reg, which I will walk you through in the slides that follow.

And, of course, if anyone has additional ideas on guidance material or training approaches, please do not hesitate to contact us.




The screenshot displays the USDA APHIS Animal Care website. On the left, a green sidebar contains the APHIS logo and text regarding the Department of Agriculture, Animal and Plant Health Inspection Service, and the Animal Welfare Act. The main content area features a large green header with the title "AWA Contingency Webpage" and a URL: http://www.aphis.usda.gov/animal_welfare/awa_contingency_plan.shtml. Below this is a banner image of various farm animals. A navigation bar includes links for Home, About APHIS, Newsroom, Career Opportunities, Help, and Contact Us. The page content is titled "Animal Welfare" and "USDA APHIS Animal Welfare Act Contingency Plan Final Rule". It includes a search bar, a "Browse by Audience" section, and a "Related Topics" sidebar with links to Overview, Animal Welfare Act, Horse Protection Act, Animal Care's Emergency Programs, and Publications, Reports and Updates.

Animal Welfare Act Contingency Webpage

This is a clearinghouse of useful information we have gathered on the regulation and on emergency planning for our regulated industries.

To get there, follow these steps:

APHIS home page → Animal Welfare → Animal Welfare Act (or you can just Google APHIS Animal Care Contingency).



AWA Contingency Webpage

- Federal Register Notice
- Tech Note
- FAQs
- Links to resources

DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency planning and emergency preparedness research, and to require facilities to develop, implement, and maintain contingency plans for the care and use of animals in the event of a disaster. We are also requiring facilities to develop and maintain contingency plans for the care and use of animals in the event of a disaster. We are also requiring facilities to develop and maintain contingency plans for the care and use of animals in the event of a disaster. We are also requiring facilities to develop and maintain contingency plans for the care and use of animals in the event of a disaster.


FOR FURTHER INFORMATION CONTACT: Dr. David M. Williams, Director, APHIS, 10300 Independence Avenue, Suite 400, Beltsville, MD 20705, (301) 703-8200, or (301) 703-8201.

Supplemental: The Animal Welfare Act (AWA) of 1966, as amended, requires that all persons who are engaged in the breeding, showing, or use of animals in research, teaching, or other purposes, and who are licensed by the Secretary of Agriculture, shall develop and maintain contingency plans for the care and use of animals in the event of a disaster.

AWA Contingency Webpage cont..

At this page, you will find the Federal Register Notice, a Tech Note going over the basics of the regulation, a Frequently Asked Questions document, and links to sites on business continuity planning that we have gathered with input from experts in the field of emergency management.

In the future we will be posting at this same site a link to a recorded version of the public webinars, for those who were unable to attend either of our two sessions. We will also be posting answers to questions that came up on the webinars or afterwards.



AWA Contingency Webpage - Links

- USDA National Ag Library
- US Geological Survey
- Agility & US Small Business Administration
- FEMA & ready.gov
- National Institutes of Health
- Zoo Animal Health Network
- UC Davis Division of Ag and Natural Resources

DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency Plans

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency planning and emergency preparedness for research facilities to protect animals and personnel in the event of a disaster. We are also adding a new section to the regulations to require research facilities to develop and maintain a contingency plan for the event of a disaster. We are also adding a new section to the regulations to require research facilities to develop and maintain a contingency plan for the event of a disaster. We are also adding a new section to the regulations to require research facilities to develop and maintain a contingency plan for the event of a disaster.

Comments: We received 10 comments on the proposed rule. Most comments were in support of the rule, but some comments raised concerns about the burden on research facilities. We have addressed these concerns in the final rule.

Effective Date: The final rule is effective on January 1, 2007.

Authority: 7 U.S.C. 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 2679, 2680, 2681, 2682, 2683, 2684, 2685, 2686, 2687, 2688, 2689, 2690, 2691, 2692, 2693, 2694, 2695, 2696, 2697, 2698, 2699, 2700, 2701, 2702, 2703, 2704, 2705, 2706, 2707, 2708, 2709, 2710, 2711, 2712, 2713, 2714, 2715, 2716, 2717, 2718, 2719, 2720, 2721, 2722, 2723, 2724, 2725, 2726, 2727, 2728, 2729, 2730, 2731, 2732, 2733, 2734, 2735, 2736, 2737, 2738, 2739, 2740, 2741, 2742, 2743, 2744, 2745, 2746, 2747, 2748, 2749, 2750, 2751, 2752, 2753, 2754, 2755, 2756, 2757, 2758, 2759, 2760, 2761, 2762, 2763, 2764, 2765, 2766, 2767, 2768, 2769, 2770, 2771, 2772, 2773, 2774, 2775, 2776, 2777, 2778, 2779, 2780, 2781, 2782, 2783, 2784, 2785, 2786, 2787, 2788, 2789, 2790, 2791, 2792, 2793, 2794, 2795, 2796, 2797, 2798, 2799, 2800, 2801, 2802, 2803, 2804, 2805, 2806, 2807, 2808, 2809, 2810, 2811, 2812, 2813, 2814, 2815, 2816, 2817, 2818, 2819, 2820, 2821, 2822, 2823, 2824, 2825, 2826, 2827, 2828, 2829, 2830, 2831, 2832, 2833, 2834, 2835, 2836, 2837, 2838, 2839, 2840, 2841, 2842, 2843, 2844, 2845, 2846, 2847, 2848, 2849, 2850, 2851, 2852, 2853, 2854, 2855, 2856, 2857, 2858, 2859, 2860, 2861, 2862, 2863, 2864, 2865, 2866, 2867, 2868, 2869, 2870, 2871, 2872, 2873, 2874, 2875, 2876, 2877, 2878, 2879, 2880, 2881, 2882, 2883, 2884, 2885, 2886, 2887, 2888, 2889, 2890, 2891, 2892, 2893, 2894, 2895, 2896, 2897, 2898, 2899, 2900, 2901, 2902, 2903, 2904, 2905, 2906, 2907, 2908, 2909, 2910, 2911, 2912, 2913, 2914, 2915, 2916, 2917, 2918, 2919, 2920, 2921, 2922, 2923, 2924, 2925, 2926, 2927, 2928, 2929, 2930, 2931, 2932, 2933, 2934, 2935, 2936, 2937, 2938, 2939, 2940, 2941, 2942, 2943, 2944, 2945, 2946, 2947, 2948, 2949, 2950, 2951, 2952, 2953, 2954, 2955, 2956, 2957, 2958, 2959, 2960, 2961, 2962, 2963, 2964, 2965, 2966, 2967, 2968, 2969, 2970, 2971, 2972, 2973, 2974, 2975, 2976, 2977, 2978, 2979, 2980, 2981, 2982, 2983, 2984, 2985, 2986, 2987, 2988, 2989, 2990, 2991, 2992, 2993, 2994, 2995, 2996, 2997, 2998, 2999, 3000, 3001, 3002, 3003, 3004, 3005, 3006, 3007, 3008, 3009, 3010, 3011, 3012, 3013, 3014, 3015, 3016, 3017, 3018, 3019, 3020, 3021, 3022, 3023, 3024, 3025, 3026, 3027, 3028, 3029, 3030, 3031, 3032, 3033, 3034, 3035, 3036, 3037, 3038, 3039, 3040, 3041, 3042, 3043, 3044, 3045, 3046, 3047, 3048, 3049, 3050, 3051, 3052, 3053, 3054, 3055, 3056, 3057, 3058, 3059, 3060, 3061, 3062, 3063, 3064, 3065, 3066, 3067, 3068, 3069, 3070, 3071, 3072, 3073, 3074, 3075, 3076, 3077, 3078, 3079, 3080, 3081, 3082, 3083, 3084, 3085, 3086, 3087, 3088, 3089, 3090, 3091, 3092, 3093, 3094, 3095, 3096, 3097, 3098, 3099, 3100, 3101, 3102, 3103, 3104, 3105, 3106, 3107, 3108, 3109, 3110, 3111, 3112, 3113, 3114, 3115, 3116, 3117, 3118, 3119, 3120, 3121, 3122, 3123, 3124, 3125, 3126, 3127, 3128, 3129, 3130, 3131, 3132, 3133, 3134, 3135, 3136, 3137, 3138, 3139, 3140, 3141, 3142, 3143, 3144, 3145, 3146, 3147, 3148, 3149, 3150, 3151, 3152, 3153, 3154, 3155, 3156, 3157, 3158, 3159, 3160, 3161, 3162, 3163, 3164, 3165, 3166, 3167, 3168, 3169, 3170, 3171, 3172, 3173, 3174, 3175, 3176, 3177, 3178, 3179, 3180, 3181, 3182, 3183, 3184, 3185, 3186, 3187, 3188, 3189, 3190, 3191, 3192, 3193, 3194, 3195, 3196, 3197, 3198, 3199, 3200, 3201, 3202, 3203, 3204, 3205, 3206, 3207, 3208, 3209, 3210, 3211, 3212, 3213, 3214, 3215, 3216, 3217, 3218, 3219, 3220, 3221, 3222, 3223, 3224, 3225, 3226, 3227, 3228, 3229, 3230, 3231, 3232, 3233, 3234, 3235, 3236, 3237, 3238, 3239, 3240, 3241, 3242, 3243, 3244, 3245, 3246, 3247, 3248, 3249, 3250, 3251, 3252, 3253, 3254, 3255, 3256, 3257, 3258, 3259, 3260, 3261, 3262, 3263, 3264, 3265, 3266, 3267, 3268, 3269, 3270, 3271, 3272, 3273, 3274, 3275, 3276, 3277, 3278, 3279, 3280, 3281, 3282, 3283, 3284, 3285, 3286, 3287, 3288, 3289, 3290, 3291, 3292, 3293, 3294, 3295, 3296, 3297, 3298, 3299, 3300, 3301, 3302, 3303, 3304, 3305, 3306, 3307, 3308, 3309, 3310, 3311, 3312, 3313, 3314, 3315, 3316, 3317, 3318, 3319, 3320, 3321, 3322, 3323, 3324, 3325, 3326, 3327, 3328, 3329, 3330, 3331, 3332, 3333, 3334, 3335, 3336, 3337, 3338, 3339, 3340, 3341, 3342, 3343, 3344, 3345, 3346, 3347, 3348, 3349, 3350, 3351, 3352, 3353, 3354, 3355, 3356, 3357, 3358, 3359, 3360, 3361, 3362, 3363, 3364, 3365, 3366, 3367, 3368, 3369, 3370, 3371, 3372, 3373, 3374, 3375, 3376, 3377, 3378, 3379, 3380, 3381, 3382, 3383, 3384, 3385, 3386, 3387, 3388, 3389, 3390, 3391, 3392, 3393, 3394, 3395, 3396, 3397, 3398, 3399, 3400, 3401, 3402, 3403, 3404, 3405, 3406, 3407, 3408, 3409, 3410, 3411, 3412, 3413, 3414, 3415, 3416, 3417, 3418, 3419, 3420, 3421, 3422, 3423, 3424, 3425, 3426, 3427, 3428, 3429, 3430, 3431, 3432, 3433, 3434, 3435, 3436, 3437, 3438, 3439, 3440, 3441, 3442, 3443, 3444, 3445, 3446, 3447, 3448, 3449, 3450, 3451, 3452, 3453, 3454, 3455, 3456, 3457, 3458, 3459, 3460, 3461, 3462, 3463, 3464, 3465, 3466, 3467, 3468, 3469, 3470, 3471, 3472, 3473, 3474, 3475, 3476, 3477, 3478, 3479, 3480, 3481, 3482, 3483, 3484, 3485, 3486, 3487, 3488, 3489, 3490, 3491, 3492, 3493, 3494, 3495, 3496, 3497, 3498, 3499, 3500, 3501, 3502, 3503, 3504, 3505, 3506, 3507, 3508, 3509, 3510, 3511, 3512, 3513, 3514, 3515, 3516, 3517, 3518, 3519, 3520, 3521, 3522, 3523, 3524, 3525, 3526, 3527, 3528, 3529, 3530, 3531, 3532, 3533, 3534, 3535, 3536, 3537, 3538, 3539, 3540, 3541, 3542, 3543, 3544, 3545, 3546, 3547, 3548, 3549, 3550, 3551, 3552, 3553, 3554, 3555, 3556, 3557, 3558, 3559, 3560, 3561, 3562, 3563, 3564, 3565, 3566, 3567, 3568, 3569, 3570, 3571, 3572, 3573, 3574, 3575, 3576, 3577, 3578, 3579, 3580, 3581, 3582, 3583, 3584, 3585, 3586, 3587, 3588, 3589, 3590, 3591, 3592, 3593, 3594, 3595, 3596, 3597, 3598, 3599, 3600, 3601, 3602, 3603, 3604, 3605, 3606, 3607, 3608, 3609, 3610, 3611, 3612, 3613, 3614, 3615, 3616, 3617, 3618, 3619, 3620, 3621, 3622, 3623, 3624, 3625, 3626, 3627, 3628, 3629, 3630, 3631, 3632, 3633, 3634, 3635, 3636, 3637, 3638, 3639, 3640, 3641, 3642, 3643, 3644, 3645, 3646, 3647, 3648, 3649, 3650, 3651, 3652, 3653, 3654, 3655, 3656, 3657, 3658, 3659, 3660, 3661, 3662, 3663, 3664, 3665, 3666, 3667, 3668, 3669, 3670, 3671, 3672, 3673, 3674, 3675, 3676, 3677, 3678, 3679, 3680, 3681, 3682, 3683, 3684, 3685, 3686, 3687, 3688, 3689, 3690, 3691, 3692, 3693, 3694, 3695, 3696, 3697, 3698, 3699, 3700, 3701, 3702, 3703, 3704, 3705, 3706, 3707, 3708, 3709, 3710, 3711, 3712, 3713, 3714, 3715, 3716, 3717, 3718, 3719, 3720, 3721, 3722, 3723, 3724, 3725, 3726, 3727, 3728, 3729, 3730, 3731, 3732, 3733, 3734, 3735, 3736, 3737, 3738, 3739, 3740, 3741, 3742, 3743, 3744, 3745, 3746, 3747, 3748, 3749, 3750, 3751, 3752, 3753, 3754, 3755, 3756, 3757, 3758, 3759, 3760, 3761, 3762, 3763, 3764, 3765, 3766, 3767, 3768, 3769, 3770, 3771, 3772, 3773, 3774, 3775, 3776, 3777, 3778, 3779, 3780, 3781, 3782, 3783, 3784, 3785, 3786, 3787, 3788, 3789, 3790, 3791, 3792, 3793, 3794, 3795, 3796, 3797, 3798, 3799, 3800, 3801, 3802, 3803, 3804, 3805, 3806, 3807, 3808, 3809, 3810, 3811, 3812, 3813, 3814, 3815, 3816, 3817, 3818, 3819, 3820, 3821, 3822, 3823, 3824, 3825, 3826, 3827, 3828, 3829, 3830, 3831, 3832, 3833, 3834, 3835, 3836, 3837, 3838, 3839, 3840, 3841, 3842, 3843, 3844, 3845, 3846, 3847, 3848, 3849, 3850, 3851, 3852, 3853, 3854, 3855, 3856, 3857, 3858, 3859, 3860, 3861, 3862, 3863, 3864, 3865, 3866, 3867, 3868, 3869, 3870, 3871, 3872, 3873, 3874, 3875, 3876, 3877, 3878, 3879, 3880, 3881, 3882, 3883, 3884, 3885, 3886, 3887, 3888, 3889, 3890, 3891, 3892, 3893, 3894, 3895, 3896, 3897, 3898, 3899, 3900, 3901, 3902, 3903, 3904, 3905, 3906, 3907, 3908, 3909, 3910, 3911, 3912, 3913, 3914, 3915, 3916, 3917, 3918, 3919, 3920, 3921, 3922, 3923, 3924, 3925, 3926, 3927, 3928, 3929, 3930, 3931, 3932, 3933, 3934, 3935, 3936, 3937, 3938, 3939, 3940, 3941, 3942, 3943, 3944, 3945, 3946, 3947, 3948, 3949, 3950, 3951, 3952, 3953, 3954, 3955, 3956, 3957, 3958, 3959, 3960, 3961, 3962, 3963, 3964, 3965, 3966, 3967, 3968, 3969, 3970, 3971, 3972, 3973, 3974, 3975, 3976, 3977, 3978, 3979, 3980, 3981, 3982, 3983, 3984, 3985, 3986, 3987, 3988, 3989, 3990, 3991, 3992, 3993, 3994, 3995, 3996, 3997, 3998, 3999, 4000, 4001, 4002, 4003, 4004, 4005, 4006, 4007, 4008, 4009, 4010, 4011, 4012, 4013, 4014, 4015, 4016, 4017, 4018, 4019, 4020, 4021, 4022, 4023, 4024, 4025, 4026, 4027, 4028, 4029, 4030, 4031, 4032, 4033, 4034, 4035, 4036, 4037, 4038, 4039, 4040, 4041, 4042, 4043, 4044, 4045, 4046, 4047, 4048, 4049, 4050, 4051, 4052, 4053, 4054, 4055, 4056, 4057, 4058, 4059, 4060, 4061, 4062, 4063, 4064, 4065, 4066, 4067, 4068, 4069, 4070, 4071, 4072, 4073, 4074, 4075, 4076, 4077, 4078, 4079, 4080, 4081, 4082, 4083, 4084, 4085, 4086, 4087, 4088, 4089, 4090, 4091, 4092, 4093, 4094, 4095, 4096, 4097, 4098, 40

links to over 50 different sites with information on preparing for earthquakes and tsunamis.

The US Small Business Administration has partnered with Agility Recovery, a company that specializes in training for and consulting on business continuity. The site has links to multiple PDF guidance documents covering all components of business continuity planning. They also provide free monthly webinars—all of which are stored on the site.

FEMA's Ready.gov site has links to multiple guidance documents on business continuity planning as well as free downloadable software—called the Business Continuity Planning Suite—that can be used by businesses to create, improve, or update their contingency plans. The software is scalable and includes training, automated plan generators, and guidance on testing a plan.

The NIH link brings you to OLAW's Disaster Planning and Response Resources which provides access to 15 different sites on disaster planning they deemed useful to PHS awardee institutions. There is also a link on our site to the Emergency Preparedness excerpt from OLAW's IACUC Guidebook. This downloadable word doc walks research facilities through risk assessment, communications, risk reduction, and how to build a disaster plan and disaster response team. There are also worksheets walking through different types of emergencies and a bibliography on emergency preparedness.

Over the past four years USDA has been collaborating with Lincoln Park Zoo and the Association of Zoos and Aquariums as the "Zoo Animal Health Network," where we have helped fund projects centered around zoo disaster preparedness and highly pathogenic avian influenza outbreak management. The Zoo Best Practice Working Group pulled together subject matter experts in zoo disaster response to provide multiple pdf guidance docs covering different components to business continuity planning and disaster response in zoos. All of the materials are available free of charge online.

Lastly, the UC Davis disaster preparedness document is almost 100 pages long. Although it is specific to California in some areas, most of the information can be generalized to animal facilities nation-wide and is incredibly detailed. Included is guidance on forming relationships with local emergency management agencies (including templates for emergency contacts and a Memorandum of Understanding), planning checklists for animal transportation, sheltering, feed and other animal supply resources, and key elements in developing a disaster plan.

As you can see, there is a myriad of guidance materials already out there, all accessible through the APHIS website on the Contingency Regulation.

EMPOWERING.



DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection
Service

9 CFR Parts 2 and 3
(Docket No. APHIS-2006-0159)
RIN 0579-AC89

Handling of Animals; Contingency
Plans

AGENCY: Animal and Plant Health
Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: We are amending the Animal Welfare Act regulations to add requirements for contingency plans and emergency preparedness plans. We are also adding a new section to the regulations regarding the handling of animals in contingency plans. These changes are necessary to ensure the health and safety of animals in the event of an emergency.

DATE: 01/10/07. **EFFECTIVE DATE:** 01/10/07. **FOR FURTHER INFORMATION CONTACT:** Dr. David Sacks, Director, APHIS, 1030 Independence Avenue, SW, Washington, DC 20250-4700. **APHIS Web Page:** <http://www.aphis.usda.gov>.

Supplemental: Notice of the Animal Welfare Act (AWA) of 1966, as amended, requires that the Secretary of Agriculture be satisfied that persons who are engaged in the breeding, raising, transporting, and displaying of certain animals for exhibition, competition, or research.

Additional questions?

- Visit our website
- Contact your APHIS inspector
- Dr. Jeanie Lin

*Eastern Region Animal Care
Emergency Programs Manager*
jeanie.lin@aphis.usda.gov
(919) 855-7100

- Members of the press contact
David.Sacks@aphis.usda.gov